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Rec'd PCT/PTO 17 JUN 2005  
PCT B 03 / 0 6 0 2 1. #2

REC'D 14 APR 2004

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Applicant (s)

(21) Patentansökningsnummer    0203819-8  
Patent application number

(86) Ingivningsdatum                      2002-12-19  
Date of filing

Stockholm, 2004-03-22

För Patent- och registreringsverket  
For the Patent- and Registration Office

*Hjördis Segerlund*  
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Avgift  
Fee                      170:-

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2002-12-17

9498SE-LG

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Hans J. and Kustan

Method to increase the safety integrity level of a  
5 control system

TECHNICAL FIELD.

The present invention relates to supervision, diagnostic  
and diversity of execution of control algorithms in the  
10 context of control systems. A device comprises  
functionality, which adds security features to a  
controller and enables the controller to meet  
requirements for a safety control system. Such a system  
needs diagnostic in order to ensure that no accidents  
15 take place which otherwise could harm people, equipment  
or the environment.

BACKGROUND ART.

Industrial control systems are for instance applied in  
20 manufacturing and process industries, such as chemical  
plants, oil production plants, refineries, pulp and paper  
mills, steel mills and automated factories. Industrial  
control systems are also widely used within the power  
industry. Such industrial control systems may need to  
25 comprise or be combined with devices, which adds safety  
features. Example of processes which requires additional  
safety features than what a standard industrial control  
system provides are processes at off-shore production  
platforms, certain process sections at nuclear power  
30 plants and hazardous areas at chemical plants. Safety  
features may be used in conjunction with safety shutdown,  
fire and/or alarm systems as well as for fire-and-gas  
detection.

The use of advanced computer systems in safety related control systems raises challenges in the verification of correctness of large amount of software code and the complex electronics. There exist prior art, for instance

5 described as standards, for how higher safety level can be obtained for such systems. Such prior art is commonly focused on the process of the development of products both the hardware part and the software parts. It also describes diagnostic functionalities and algorithms.

10 Prior art also address the higher safety level obtained in executing control systems with different hardware redundancy and software diversity. The implementation of an advanced safety control system is normally based on a dual or triple system with some type of voting before

15 enabling an output signal. Some safety control systems have implemented a sufficiently safe single unit solution by focusing on design of the system and highest possible quality in implementing such system. Both multiple unit systems and single unit systems have today often included

20 some number of diagnostic algorithms both in software and in hardware.

An example of an industrial control system, which includes a safety critical function, is described in

25 DE19857683 "Safety critical function monitoring of control systems for process control applications has separate unit". The system has a main controller bus coupled to different processors via a number of decentralized data receivers.

30 One example of a device in an industrial control system which has increased capability of fault detection is described in GB2277814, which concerns a fault tolerant PLC (Programmable Logic Controller) including a Central

Programmable Unit (CPU). A pair of first I/O modules are connected between a positive power bus and a load. A pair of second I/O modules are connected between the negative power bus and the load. GB 2 277 814 further describes  
5 that power to the load is not disconnected upon failure of one of the I/O modules on either side of the load.

US 6,201,997 describes a two-processor solution where both processors receives the same input data and  
10 processes the same program.

#### SUMMARY OF THE INVENTION

The object of the invention is to enable an increased safety integrity level of a Control System.  
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This object is met by a method to increase a safety integrity level of a Controller for control of real world objects, the steps attaching a safety hardware unit, downloading software to a CPU of the Controller and the  
20 attached safety hardware unit, configuring the attached safety hardware unit to set the Controller's output values in a safe state for on-line control.

An advantage with the invention is that it increases the safety level for a control system based on a single  
25 controller unit to a level, which previously was available mainly for dual or triple controller systems. The invention reduces the complexity of implementing and maintaining such control systems.

30 Another advantage with the invention is that a control system based on the invention and qualified for a high safety level control may also be used for non-safety critical process control by not using the added safety

hardware unit. The invention enables an increased flexibility in the use of the single unit controller. Huvudinventör: Hans-Erik

This process control use of the single controller will then be a less costly and faster controller than the full

- 5 safety level use of the control system. Since the plug-able safety hardware unit is not used for non-safety critical control, a smaller amount of software in the single controller, compared with prior art, allows larger application software to execute faster.

10

Another advantage with the invention is that it enables that a Controller may reach an increased safety integrity level at a time after that the Controller was originally installed for control of real world objects. As an

- 15 example a Controller may first be installed to perform non-safety critical control and a year later the Controller is configured for an increased safety integrity level for safety critical control.

- 20 An additional advantage is the solutions obtained on how the user interfaces the plug-able unit. The user interface will be simplified to that for instance an engineer will specify the wanted level of safety integrity for the application.

25

Another object of the invention is to provide a Control System intended for safety related control of real world objects. The control system comprises a Controller with a single main CPU, and an attached safety hardware unit

30 comprising means to increase the safety integrity level of the Control System.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will be described in more detail in connection with the enclosed schematic drawings.

Figure 1 shows an overview of a method according to the invention.

Figure 2 shows a simplified diagram of a Controller with a local Input/Output and with an attached safety hardware unit.

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Figure 3 shows a simplified diagram of the Controller with an attached safety hardware unit with remote Input/Output connected by a bus solution.

Figure 4 shows an overview of a Control System comprising a Controller with an attached safety hardware unit.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

Figure 1 shows an overview of a method according to the invention. The method provides an increased safety integrity level of a Controller 10 such as an Industrial Controller of an Industrial Control System. Examples of a Controller is a Programmable Logical Controller (PLC) and a field controller.

25

In this description a Controller has the purpose of collecting measurements and controlling real world objects connected to a Control System. Examples of real world objects are valves, motors, pumps, compressors, switchgears, conveyor belts, a product, a raw material or a batch.

30

With safety integrity level is meant a controller which meets de-facto standard safety integrity levels or

standard safety integrity levels, such as SIL 1, SIL 2, SIL 3 or SIL 4 (SIL according to the standard IEC 61508 or later IEC standards).

5 Figure 1 shows that the method comprises a step of attaching 1 a safety hardware unit 11 (shown in Figure 2) to the Controller 10. The safety hardware unit 11 communicates with the Controller's CPU. The safety hardware unit 11 may be in the form of a circuit board and typically comprise a CPU and may also comprise an Input/Output (I/O) interface. Such an I/O interface may comprise a set of memory chips and a Field Programmable Gate Array (FPGA). The Safety Hardware Unit may also  
10 in order to provide forced output signals for instance to an external alarm system. Further, the Safety Hardware Unit may include functionality for memory shadowing. One alternative name for the safety hardware unit 11 is a safety module. The safety hardware unit 11 comprise  
20 communication means to communicate with the Controller's CPU via a bus 14. The safety hardware unit 11 may be connected via a back-plane to the Controller 10. In an alternative embodiment the safety hardware unit 11 is a plug-able unit added to the main circuit board of the  
25 Controller 10, comprising the main CPU of the Controller 10.

Further, figure 1 shows that the method comprises the step of downloading software with safety related  
30 configuration data, not only to the Controller 10 shown in figure 2, but also to the attached safety hardware unit 11. In one embodiment the downloading of such software is made from a software tool connected to the Controller 10 from a computer device, such as a Personal

Computer or Workstation. An example of configuration data is application classification depending on the previously mentioned safety standard. Configuration of communication capabilities between safety related applications. Other  
5 examples of such configuration data are application access level, which relates to user-authorization control.

Another step of the method, shown in figure 1, is  
10 configuring the safety hardware unit 11 to execute safety function logic and set the Controller's 10 output values into a safe state for on-line safety control. This insures that the Control System 20, shown in figure 4,  
15 goes into a safe-state. To set the output values into a safe state is either made in an active way or in a passive way. The execution of the safety function logic depends on the configuration data. The safety function logic is written in a language well known to a person skilled in the art. Such a language may be according to  
20 IEC 6-1131 with possible extensions for safety related functions.

The Controller 10 has the same control functionality for non-safety related control both with and without the  
25 attached hardware unit 11. It should be appreciated that compared with prior art this enables more flexible technical solutions for safety control. As an example the Controller 10 has the same set of program instructions available both with and without the attached hardware  
30 unit 11. An example of a program language is structured text as defined by IEC 6-1131. This means that a Controller 10, which originally is configured only for a non-safety critical application, may at a later time be configured with the safety hardware unit 11 mentioned



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above, and after being configured for on-line safety control the Controller 10 may still run the same non-safety critical application as before adding the safety hardware unit 11.

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In an embodiment of the invention a controller configuration and controller code is downloaded to the Controller 10. It is a user 22 of a software tool that initiates a download of the controller configuration and controller code. An example of a user is a process engineer, a service engineer or a process operator. During or after that controller configuration and controller code is defined a hardware unit diagnostic information is generated. In the embodiment the diagnostic information is down-loaded to the attached safety hardware unit 11 and is intended for on-line diagnostic purposes.

Figure 2 shows that a Controller referred to in the above described method, shown in figure 1, may obtain access to a plurality of input and output unit directly connected to the Controller.

Figure 3 shows that a Controller referred to in the above described method, shown in figure 1, may obtain access to a plurality of input and output values of a real world object through a bus connected between the Controller and to an input/output unit. In such an embodiment the validity of the bus communication is verified in the attached safety hardware unit 11. An example of such an input/output unit is a remote I/O. An example of a bus is a fieldbus. Another example of a bus is an internal bus of the Controller, such as a bus running on the backplane of the Controller 10.

It is an advantage if the bus verification logic is implemented in diverse. Further it is an advantage if in an embodiment of the invention the attached safety hardware unit is diverse generating a safety related header for the bus communication.

In order to further improve the reliability and diagnostics of the Control System the Input/Output unit 15 may comprise two diverse implementations each verifying the correctness of the bus traffic and each generating a safety related header for the bus 14 communication.

Further in an embodiment of the invention the timing supervision of the Controller 10 is verified in the attached safety hardware unit 11. An embodiment of the invention may also comprise that the correct sequence of logic is verified in the attached hardware unit 11.

Further an embodiment may comprise that the correct download of new control functionality logic is verified in the attached hardware unit 11. Such a verification may for instance involve a test of a check-sum.

It is beneficial to allow only users logged on as safety classified users to modify the control functionality logic and parameters. Such a classification may be verified in the Control System by means of a user key.

The safety hardware unit 11 may be configured to run as a slave of the Controller 10. That means that a safety function logic executing in the safety hardware unit is triggered from the Controller. The safety hardware unit supervise that that it is triggered at a defined time.

In another embodiment the safety hardware unit 11 may comprise a first and a second module in a redundant configuration. The second module is typically updated with data from the first module and the second module takes over the safety related control of the control system from the first module if a failure of the first module is detected. The Controller may have a redundant CPU unit, which takes over control of real world objects from the primary CPU unit in the case of a failure of the primary CPU unit. The redundant CPU establishes communication with the first or second module of the attached safety hardware unit.

Another embodiment of the invention is a Control System intended for safety related control of real world objects. Such a Control System comprise a Controller 10 with a single main CPU and an attached safety hardware unit 11 comprising means to set the Controller's output values in a safe state for on-line safety control.

## CLAIMS

1. A method to increase a safety integrity level of a Controller (10) for control of real world objects,  
5 characterized by the steps of  
- attaching to the said Controller (10) a safety hardware unit (11) wherein the safety hardware unit (11) communicates with the said Controller's CPU,  
- downloading software with safety related configuration  
10 data to the attached safety hardware unit (11) and to the Controller (10),  
- configuring the attached safety hardware unit (11) to execute safety function logic, which depends on the safety related configuration data, and in an active or  
15 passive way set the Controller's (10) output values to a safe state for on-line safety control.
2. A method according to claim 1, characterized in that the Controller (10) have the capability of executing a  
20 set of non-safety critical control functions, which set of non-safety critical control functions is the same before as well as after the safety hardware unit (11) is attached.
- 25 3. A method according to claim 2, characterized in that the configuring step comprise the additional steps of  
- downloading to the attached safety hardware unit (11) diagnostic information, which previously was  
automatically generated by a software tool as a result of  
30 user's configuration of the Controller (10) and which diagnostic information is used in the attached safety hardware unit (11) during safety critical control.
4. A method according to any previous claim,  
35 characterized in that access to a plurality of input and output values of a real world object is obtained through a bus (14) connected between the Controller (10) and to

an input/output unit (15) and the validity of the bus (14) communication is verified in the attached safety hardware unit (11).

5 5. A method according to any previous claim, characterized in that the timing supervision of the Controller (10) is verified in the attached safety hardware unit (11).

10 6. A method according to any previous claim, characterized in that correct sequence of code logic is verified in the attached safety hardware unit (11).

15 7. A method according to any previous claim, characterized in that correctness of memory content of the controller (10) is verified in the attached safety hardware unit (11).

20 8. A method according to any previous claim, characterized in that a download of new control functionality logic to the Controller is verified in the attached safety hardware unit (11).

25 9. A method according to any previous claim, characterized in that the attached safety hardware unit (11) performs checks in order to allow only users logged on as safety classified engineers and safety classified operators to modify the control functionality logic and parameters.

30 10. A method according to claim 4, characterized in that the bus (14) communication verification logic in the attached safety hardware unit (11) is implemented diverse.

11. A method according to claim 4, characterized in that the attached safety hardware unit 11 is diverse generating a safety related header for the bus (14) communication.

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12. A method according to claim 11, characterized in that the Input/Output unit (15) has two diverse implementations each verifying the correctness of the bus (14) traffic and each generating a safety related header for the bus communication.

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13. A method according to any previous claim, characterized in that the attached safety hardware unit comprise a first and a second module in a redundant configuration, the second module is updated with data that exists in the first module at the time of a failure and the second module takes over the safety related control of the control system from the first module if a failure of the first module is detected.

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14. A method according to claim 13, characterized in that the a redundant Controller unit is attached to the Controller (10), which takes over in case of a failure of a primary Controller and the redundant Controller unit establish communication with either the active first module or the active second module of the attached safety hardware unit.

25

15. A Control System (20) intended for safety related control of real world objects, characterized in that it comprises  
- a single main CPU handling the main processes of a Controller (10),

30

- an attached safety hardware unit (11) comprising means to increase the safety integrity level of the Controller and the comprising means to set the Controller's output values in a safe state for on-line safety control.

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16. A Control System according to claim 15, characterized in that the Controller (10) have the capability of executing a set of non-safety critical control functions, which set of non-safety critical control functions is the same before as well as after the safety hardware unit is attached.

10

17. A Control System according to claim 16, characterized in that it comprises,

15 - means for downloading to the attached safety hardware unit diagnostic information, which previously was automatically generated by a software tool as a result of user's configuration of the Controller and which diagnostic information is used in the attached safety hardware unit during safety critical control.

20

18. A Control System according to claim 17, characterized in that it comprises

- an input/output unit (15) connected to the Controller (10) by a bus and the validity of the bus (14) communication is verified in the attached safety hardware unit.

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19. A Control System according to claim 18, characterized in that the bus (14) communication verification logic in the attached safety hardware unit (11) is implemented diverse.

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20. A Control System according to claim 19, characterized in that the attached safety hardware unit (11) is diverse generating a safety related header for the bus (14) communication.

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## ABSTRACT

A Controller is capable of executing non-safety related control logic. A safety module is added to the Controller in order to increase the safety integrity level of a Control System. The Controller is then able to execute safety related control of real world objects. Such a Control System may for instance exist at an off-shore production platform or in an hazardous area of a chemical plant.

10

**Fig. 1**

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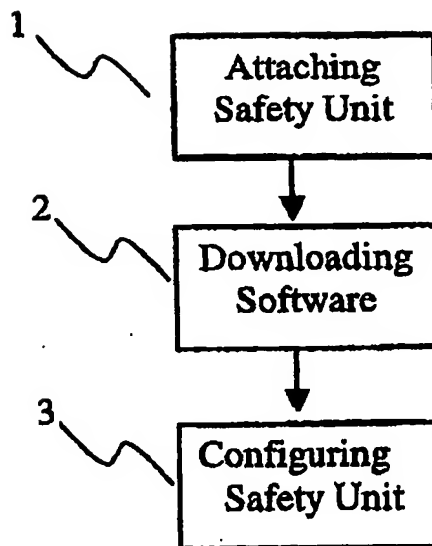


Fig. 1

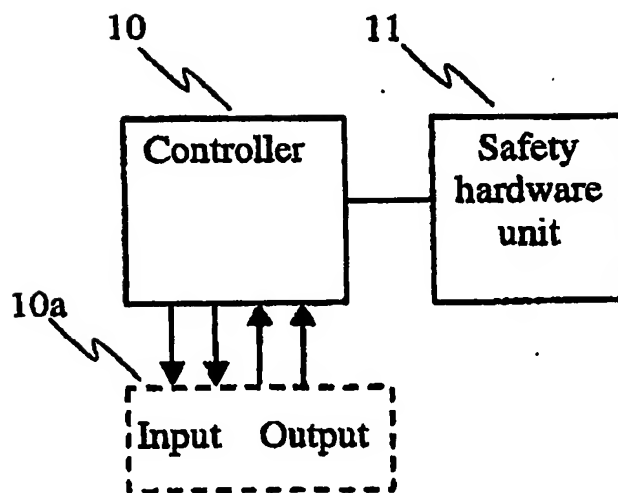


Fig. 2

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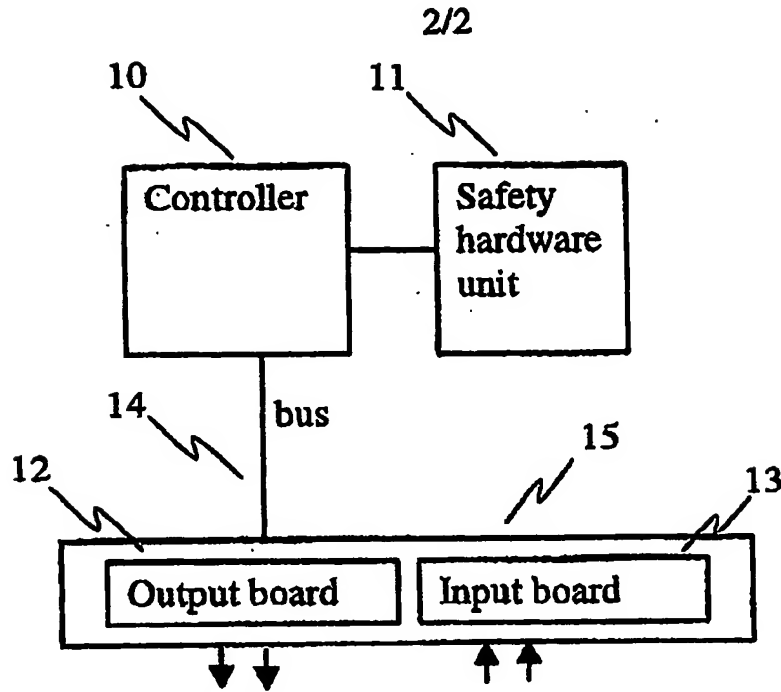


Fig. 3

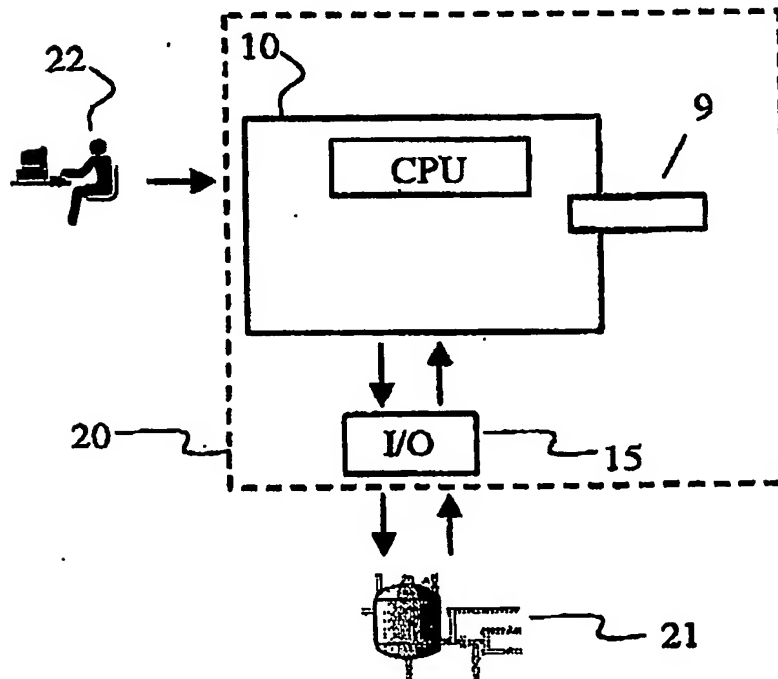


Fig. 4

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